

j1031 U.S. PTO
08/31/01

UTILITY PATENT APPLICATION TRANSMITTAL

(New Nonprovisional Applications Under 37 CFR § 1.53(b))

09-5C-01
A
Attorney Docket No.

50277-1787

J1002 U.S. PTO
09/09/945436

09/31/01

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Transmitted herewith is the patent application of () application identifier or (X) first named inventor, Bala Kumaresan, et al., entitled TECHNIQUES FOR MANAGING A DATABASE SYSTEM INCLUDING ONE OR MORE DATABASE SERVERS for a(n):

- () Original Patent Application.
(X) Continuing Application (prior application not abandoned):
() Continuation (X) Divisional () Continuation-in-part (CIP)
of prior application No: 09/240,229 Filed on: 1/29/99
(X) A statement claiming priority under 35 USC § 121 has been added to the specification.

Enclosed are:

- (X) Specification (including cover sheet); 36 Total Pages. (X) Drawing(s); 10 Total Sheets.
(X) Oath or Declaration:
() A Newly Executed Combined Declaration and Power of Attorney:
() Signed. () Unsigned. () Partially Signed.
(X) A Copy from a Prior Application for Continuation/Divisional (37 CFR § 1.63(d)).
(X) Incorporation by Reference. The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied, is considered as being part of the disclosure of the accompanying application and is hereby incorporated herein by reference.
(X) Signed Statement Deleting Inventor(s) Named in the Prior Application. (37 CFR § 163(d)(2)).
() Power of Attorney. (X) Return Receipt Postcard.
() Associate Power of Attorney. (X) A Check in the amount of \$710.00 for the Filing Fee.
() Preliminary Amendment. (X) Information Disclosure Statement and Form PTO-1449.
() Request and Certification Under 35 U.S.C. 122(b)(2)(B)(i)
(X) A Duplicate Copy of this Form for Processing Any Deficiency of Fee Against Deposit Account.
() A Certified Copy of Priority Documents (if foreign priority is claimed).
() Applicant(s) is entitled to small entity status. See 37 CFR 1.27.

CLAIMS AS FILED				
FOR	NO. FILED	NO. EXTRA	RATE	FEE
Total Claims	9	0	\$18.00	\$ 0.00
Independent Claims	1	0	\$80.00	\$ 0.00
Multiple Dependent Claims (if applicable)				\$ 0.00
Assignment Recording Fee				\$ 0.00
Basic Filing Fee				\$ 710.00
			Total Filing Fee	\$ 710.00

Charge \$ _____ to Deposit Account _____ pursuant to 37 CFR § 1.25. At any time during the pendency of this application, please charge any additional fees, including any required extension of time fees, and credit all overpayments to above deposit account 50-1302. A duplicate of this sheet is enclosed.

Respectfully submitted,

By: 
Brian D. Hickman,
Attorney of Record, Reg. No. 35,894

Date: August 31, 2001

Correspondence Address:

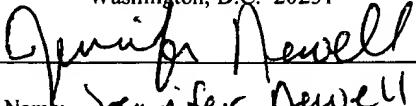


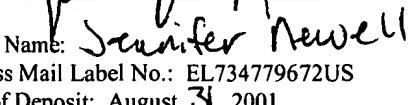
29989

PATENT & TRADEMARK OFFICE

I hereby certify that this is being deposited with the U.S. Postal Service "Express Mail Post Office to Addressee" service under 37 CFR § 1.10 on the date indicated below and is addressed to:

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FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.
Small Entity payments must be supported by a small entity statement,
otherwise large entity fees must be paid. See Forms PTO/SB/09-12.
See 37 C.F.R. §§ 1.27 AND 1.28

TOTAL AMOUNT OF PAYMENT (\$ 710.00)

Complete if Known

Application Number	
Filing Date	
First Named Inventor	Bala Kumaresan, et al.
Examiner Name	
Group/Art Unit	

Attorney Docket No. 50277-1787

METHOD OF PAYMENT (check one)

1. Throughout the pendency of this application, please charge any additional fees, including any required extension of time fees, and credit all overpayments to deposit account 50-1302. A duplicate of this sheet is enclosed.

Deposit Account Number **50-1302**

Deposit Account Name **Hickman Palermo Truong & Becker, LLP**

2. Payment Enclosed:

Check Money Order Other

3. Applicant(s) is entitled to small entity status.

See 37 CFR 1.27

FEE CALCULATION (continued)**3. ADDITIONAL FEES**

Large Entity Fee Code	Fee \$	Small Entity Fee Code	Fee \$	Fee Description			Fee Paid
105	130	205	65	Surcharge – late filing fee or oath			
127	50	227	25	Surcharge – late provisional filing fee or cover sheet.			
139	130	139	130	Non-English specification			
112	920*	112	920*	Requesting publication of SIR prior to Examiner action			
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action			
115	110	215	55	Extension for reply within first month			
116	390	216	195	Extension for reply within second month			
117	890	217	445	Extension for reply within third month			
118	1,390	218	695	Extension for reply within fourth month			
128	1,890	228	945	Extension for reply within fifth month			
119	310	219	155	Notice of Appeal			
120	310	220	155	Filing a brief in support of an appeal			
121	270	221	135	Request for oral hearing			
138	1,510	138	1,510	Petition to institute a public use proceeding			
140	110	240	55	Petition to revive – unavoidable			
141	1,210	241	605	Petition to revive – unintentional			
142	1,210	242	605	Utility issue fee (or reissue)			
143	430	243	215	Design issue fee			
144	580	244	290	Plant issue fee			
122	130	122	130	Petitions to the Commissioner			
123	50	123	50	Petitions related to provisional applications			
126	240	126	240	Submission of information Disclosure Stmt			
581	40	581	40	Recording each patent assignment per property (times number of properties)			
146	690	246	345	Filing a submission after final rejection (37 CFR § 1.129(a))			
149	690	249	345	For each additional invention to be examined (37 CFR § 1.129(b))			

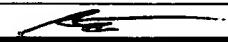
Other fee (specify) _____

Other fee (specify) _____

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)

Complete (if applicable)

SUBMITTED BY			
Name (Print/Type)	Brian D. Hickman	Registration No. (Attorney/Agent)	35,894
Signature		Telephone	(408) 414-1080

WARNING:

Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231.
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Bala Kumaresan, et al.

Group Art Unit: NYA

Application No.:

Examiner: NYA

Filed:

Title: TECHNIQUES FOR MANAGING A DATABASE
SYSTEM INCLUDING ONE OR MORE DATABASE
SERVERS

**STATEMENT TO DELETE INVENTORS NAMED IN DECLARATION OF PRIOR
APPLICATION PURSUANT TO C.F.R. 1.63(d)(2)**

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Washington, D.C. 20231

Sir:

Applicants hereby request the deletion of the following inventors from the copy, filed concurrently herewith, of the declaration of the prior application Serial No. 09/240,229 filed January 29, 1999. The declaration of the prior application lists inventors for all claims at the time of filing. On July 18, 2001, in response to a restriction requirement imposed by an Office Action on the prior application, the prior application was amended to cancel claims and to delete inventors who contributed only to the canceled claims. Some of the canceled claims are the subject of the divisional application filed concurrently herewith. Thus, the declaration for the prior application includes the names of inventors who are no longer inventors of the prior application. Furthermore, the declaration for the prior application also includes names of inventors who are not inventors for the claims of the divisional application filed concurrently herewith.

The inventors to be deleted from the copy of the declaration for the divisional application filed concurrently herewith are as follows:

Lawrence J. Ellison, C. Gregory Doherty, Jay Rossiter, David Stowell,

Pari Bhaduri, Venkat Malla, Jane Chen, Srinivas Maturi, Balasubramanyam

Sthanikam, Yuanjiang Ou, Joseph Therrattil Koonen, Harish Rawat, and Muthu

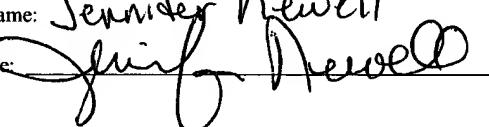
Olagappan.

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Date of Deposit: August 31, 2001

Typed Name: Jennifer Newell

Signature: 

Respectfully submitted,

By 

Brian D. Hickman

Reg. No. 35,894

Date: August 31, 2001

Telephone No.: (408) 414-1080 ext. 201

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